

FULFILL your CLE requirements.

Annual CLE Seminar

Powered by Dinsmore & Shohl LLP

Friday, December 5, 2014 | 8:00 AM - 3:15 PM

Westin Cincinnati | 21 East 5th Street | Cincinnati, OH 45202



Seminar Agenda & Speakers [Morning Session]

8:00-8:30 Breakfast / Registration

8:30-9:30 The impact of legal and regulatory actions on the Enterprise Risk Management process

Corey Gooch | Account Manager | Towers Watson | Chicago, IL

John Bugalia | Principal | ermINSIGHTS | Indianapolis, IN

This session will review current trends in how organizations are implementing ERM and discuss how they can impact the legal profession (both in-house and outside counsel)

9:30-10:30 Risk Management Panel Discussion

Randy Curato | Vice President and Counsel | ALAS | Chicago, IL

Corey Gooch | Account Manager | Towers Watson | Chicago, IL

John Bugalia | Principal | ermINSIGHTS | Indianapolis, IN

Geof Oberhaus | Partner | Dinsmore & Shohl LLP | Cincinnati, OH

Allison Goico | Attorney | Dinsmore & Shohl LLP | Cincinnati, OH

10:30-10:45 Break

10:45-Noon Ethics — The Tyco International Corporate Scandal:
Legal and Ethical lapses that sent Dennis Kozlowski from the Chief Executive's Office at Tyco to a maximum security cell in a New York State Prison

Catherine Neal | Associate Professor of Business Ethics | Northern Kentucky University | Erlanger, KY

Professor Neal will shed light on the legal and ethical missteps in Tyco's C-suite and board room during the decade that Dennis Kozlowski served as the company's CEO that left him vulnerable to prosecution. Issues to be discussed include weaknesses in corporate governance, conflicts of interest, director independence, agency theory, fiduciary duties, and the failure of internal controls

CONTINUED »

FULFILL your CLE requirements.

Annual CLE Seminar

Powered by Dinsmore & Shohl LLP

Friday, December 5, 2014 | 8:00 AM - 3:15 PM

Westin Cincinnati | 21 East 5th Street | Cincinnati, OH 45202



Seminar Agenda & Speakers [Afternoon Session]

Noon-1:30

Lunch

12:15-1:30

Lunch Session: Ethics Discussion Panel

Catherine S. Neal | Associate Professor of Business Ethics | Northern Kentucky University | Erlanger, KY

Richard Clay | Partner | Dinsmore & Shohl LLP | Louisville, KY

Brian Sullivan | Partner | Dinsmore & Shohl LLP | Cincinnati, OH

Allan Daily | Attorney | Dinsmore & Shohl LLP | Cincinnati, OH

Moderator: Mark A. Vander Laan | Partner | Dinsmore & Shohl LLP | Cincinnati, OH

1:30-1:45 PM

Break

1:45-2:30

Legislative Update

John Ryan | State Director | U. S. Senator Sherrod Brown | Cleveland, OH

In this segment, U.S. Senator Sherrod Brown's State Director will provide an overview of current and important legislative issues, including a review of legislation that passed in the final session of the 113th Congress, and its implications for Ohio businesses and taxpayers, and a preview of the top priorities for the 114th Congress.

2:30-3:15

Non-Profits Update

Allison Kropp | Of Counsel | Dinsmore & Shohl LLP | Cincinnati, OH

Marty Dunn | Partner | Dinsmore & Shohl LLP | Cincinnati, OH

Penina Lieber | Partner | Dinsmore & Shohl LLP | Pittsburgh, PA

Pulling from the lessons learned in the Tyco case, this panel of experienced corporate attorneys will connect the dots from ethical missteps in the for-profit world to the importance of non-profit corporate governance. They will provide a better understanding of non-profit directors' fiduciary duties and avoiding conflicts of interest, covering common compliance issues as well as ethical issues for attorneys serving on non-profit boards.