



## David A. Lopez-Kurtz

Associate

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David focuses his practice on corporate and securities matters with an emphasis on private and public securities transactions, compliance, and disclosure obligations. He also represents both large and small companies on all aspects of business formation, fundraising, commercial contracts, mergers and acquisitions, and regulatory compliance.

He represents clients in the digital currency and blockchain ecosystem, working as outside general counsel and drawing on the firm's national platform and comprehensive range of capabilities as he advises on a broad range of matters, including company formation and financing, federal and state securities laws, token fundraising (ICOs and SAFTs), and alternative securities offering options (Reg A+, Reg D/506(c), Reg S, and Equity Crowdfunding).

When counseling clients, including those navigating issues surrounding and arising from digital currencies and blockchain technology, David maintains focus on building relationships based on trust, communication, and responsiveness.

David leverages his past professional experiences, whether working on the line as a cook and a chef in Cincinnati and New York City or picking salmon out of a net in Alaska as a commercial fisherman, to relate to clients who are working hard to create new and exciting possibilities for themselves and for the industries and markets they participate in.

### Services

- Corporate & Transactional
- Mergers & Acquisitions
- Capital Markets
- Private Placements
- Public Company Reporting, Compliance & Governance
- Public Offerings
- Banking & Financial Services

- Blockchain Technology & Digital Currency

## Education

- University of Cincinnati College of Law (J.D., *magna cum laude*, 2018)
  - Order of the Coif
- Ohio University (B.A., 2011)

## Bar Admissions

- Ohio

## Affiliations/Memberships

- Cincinnati Bar Association
- Ohio Bar Association

## Distinctions

- Cincy Leading Lawyer, *Cincy Magazine* (2021 - 2022)

## Publications

September 21, 2022

**Merging into a Security? After Ethereum Migration, SEC Chairman Comments on Staking**

September 16, 2022

**SEC Division of Corporation Finance to Add Industry Offices Focused on Crypto Assets**

September 15, 2022

**OFAC Offers Guidance in the Wake of Tornado Cash Sanctions**

July 15, 2022

**Ohio Embraces Blockchain Technology and Digital Assets**

Law360

June 23, 2022

**Senators Gillibrand and Lummis Seek to Regulate Digital Assets**

June 14, 2022

**UST Crash Leads to US-Based Class Action**

June 1, 2022

**Ohio's First Step Towards Blockchain Adoption**

May 20, 2022

**Are SEC In-House Judges Unconstitutional?**

May 19, 2022

**Banks Set to Abandon SPAC Market as SEC Proposes New Rules**

May 5, 2022

**Bill in U.S. House Would Limit SEC's Reach Over Crypto Exchanges**

May 5, 2022

**SEC Nearly Doubles Size of Enforcement's Crypto Assets and Cyber Unit**

April 27, 2022

**Digital Asset Safeguarding Disclosure: Best Practice Becoming SEC Mandate**

April 14, 2022

**SEC's Proposed 'Dealer' Definition: A Shadow Ban on DeFi?**

April 5, 2022

**EU Lawmakers Vote to Regulate Digital Wallets**

March 25, 2022

**SEC Registrants Should Prepare Now for Proposed Climate-Related Disclosure Rules**

March 18, 2022

**President Biden Issues Executive Order on Cryptocurrencies, Taking Steps Toward Regulation**

February 16, 2022

**Crypto Lending: The SEC Weighs In**