



## Michael R. Schofield, (Non-Attorney)

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Michael is the chief operating officer with Dinsmore Compliance Services. He has more than 20 years of financial industry experience with FINRA and SEC-regulated firms. He previously served in senior management positions including COO and managing director with a registered investment adviser providing institutional services to numerous broker dealers and affiliates in the independent community.

His experience includes leadership in the areas of marketing, technology, fiduciary, and compliance, including maintenance of the GIPS® standards. Michael's previously held professional licenses include the FINRA Series 7, 24, and 66 licenses. He currently holds a professional designation for Accredited Investment Fiduciary (AIF®).

### Services

- Dinsmore Compliance Services

### Education

- Robert Morris University (B.A.)

## Publications

August 25, 2021

**Observations from Examinations of Investment Advisers Managing Client Accounts That Participate in Wrap Fee Programs**

August 25, 2021

**Observations Regarding Fixed-Income Principal and Cross Trades by Investment Advisers from an Examination Initiative**